

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015				
1	Name of Listed Entity: IL&FS Investment Managers Limited			
2	Scrip Code/Name of Scrip/Class of Security: 511208 / IVC / Equity			
3	Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
	a.	If under 31(1)(b) then indicate the report for Quarter ending : 30-09-2016		
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable		
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-			
		Particulars	Yes*	No*
	1	Whether the Listed Entity has issued any partly paid up shares?		No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
	3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
	4	Whether the Listed Entity has any shares in locked-in?		No
	5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>				

IL&FS Investment Managers Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	1	158333152	0	0	158333152	50.42	158333152	0	158333152	50.42	0	50.42	0	0.00	158333152	100.00	158333152
(B)	Public	36016	155699588	0	0	155699588	49.58	155699588	0	155699588	49.58	0	49.58	0	0.00	0	0.00	145908687
(C)	Non Promoter - Non Public				0	0		0	0	0	0.00	0			0.00	0	0.00	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	36017	314032740	0	0	314032740	100.00	314032740	0.00	314032740	100.00	0	100.00	0	0.00	158333152	100.00	304241839

Table II (I)(a)- Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares heldS	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Share holding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other (Specify)		1	158333152	0	0	158333152	50.42	158333152	0	158333152	50.42	0	50.42	0	0.00	158333152	100.00	158333152
	Bodies Corporate -																		
	Infrastructure Leasing And Financial Services Limited	AAACI0989F		158333152	0	0	158333152	50.42	158333152	0	158333152	50.42	0	50.42	0	0.00	158333152	100.00	158333152
	Sub Total (A)(1)		1	158333152	0	0	158333152	50.42	158333152	0	158333152	50.42	0	50.42	0	0.00	158333152	100.00	158333152
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		1	158333152	0	0	158333152	50.42	158333152	0	158333152	50.42	0	50.42	0	0.00	158333152	100.00	158333152

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Note : The Promoter has created encumbrance on the shares of the Company & not the pledge. Further as per the records received from Promoters DP, shares of the Company are free from any marking & the shares are not pledged

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Share holding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No. of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held(b)	No.	As a % of total Shares held(b)		
									Class eg: X	Class eg: y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																		
(a)	Mutual Fund		2	10277854	0	0	10277854	3.27	10277854	0	10277854	3.27	0	3.27	0	0.00	0	0.00	10277854
	Parag Parikh Long Term Value Fund	AACTP2540E		10273639	0	0	10273639	3.27	10273639	0	10273639	3.27	0	3.27	0	0.00	0	0.00	10273639
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Foreign Portfolio Investor		2	2644103	0	0	2644103	0.84	2644103	0	2644103	0.84	0	0.84	0	0.00	0	0.00	2644103
(f)	Financial Institutions / Banks		10	152962	0	0	152962	0.05	152962	0	152962	0.05	0	0.05	0	0.00	0	0.00	146910
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(i)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Foreign Institutional Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (B)(1)		14	13074919	0	0	13074919	4.16	13074919	0.00	13074919	4.16	0	4.16	0	0.00	0	0.00	13068867
2	India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
3	Non-Institutions																		
(a)	Individuals			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		33787	71523051	0	0	71523051	22.78	71523051	0	71523051	22.78	0	22.78	0	0.00	0	0.00	61845505
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		87	33410395	0	0	33410395	10.64	33410395	0	33410395	10.64	0	10.64	0	0.00	0	0.00	33410395
	C. M. Sen	AATPS4047G		3337500	0	0	3337500	1.06	3337500	0	3337500	1.06	0	1.06	0	0.00	0	0.00	3337500
(b)	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specify)		2128	37691223	0	0	37691223	12.00	37691223	0	37691223	12.00	0	12.00	0	0.00	0	0.00	37583920
	Trusts		1	50000	0	0	50000	0.02	50000	0	50000	0.02	0	0.02	0	0.00	0	0.00	50000
	Govt. Nominees		1	562	0	0	562	0.00	562	0	562	0.00	0	0.00	0	0.00	0	0.00	0
	Hindu Undivided Family		949	4533191	0	0	4533191	1.44	4533191	0	4533191	1.44	0	1.44	0	0.00	0	0.00	4533191
	Non Resident Indians (Non Repat)		139	1255574	0	0	1255574	0.40	1255574	0	1255574	0.40	0	0.40	0	0.00	0	0.00	1254734
	Directors		6	14245719	0	0	14245719	4.54	14245719	0	14245719	4.54	0	4.54	0	0.00	0	0.00	14245719
	Susim Mukul Datta	AAGPD6963M		4750000	0	0	4750000	1.51	4750000	0	4750000	1.51	0	1.51	0	0.00	0	0.00	4750000
	Archana Hingorani	ABLPH8227Q		4195719	0	0	4195719	1.34	4195719	0	4195719	1.34	0	1.34	0	0.00	0	0.00	4195719
	Bansidhar S Mehta	AADPM4552A		3493750	0	0	3493750	1.11	3493750	0	3493750	1.11	0	1.11	0	0.00	0	0.00	3493750
	Non Resident Indians (Repat)		342	5743921	0	0	5743921	1.83	5743921	0	5743921	1.83	0	1.83	0	0.00	0	0.00	5743921
	Clearing Member		172	1296529	0	0	1296529	0.41	1296529	0	1296529	0.41	0	0.41	0	0.00	0	0.00	1296529
	Market Maker		12	155270	0	0	155270	0.05	155270	0	155270	0.05	0	0.05	0	0.00	0	0.00	155270
	Bodies Corporate		506	10410457	0	0	10410457	3.32	10410457	0	10410457	3.32	0	3.32	0	0.00	0	0.00	10304556
	Sub Total (B)(3)		36002	142624669	0	0	142624669	45.42	142624669	0	142624669	45.42	0	45.42	0	0.00	0	0.00	132839820
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		36016	155699588	0	0	155699588	49.58	155699588	0	155699588	49.58	0	49.58	0	0.00	0	0.00	145908687

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

II&FS Investment Managers Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category	Category & Name of shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No. of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
									Class eg: X	Class eg: y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available